

Meeting: Trust Board
Date: 14th December 2011

Title: Whittington Health Risk Management Strategy

Executive Summary:

Summary:

The attached Risk Management Strategy document outlines Whittington Health's organisation wide approach to risk management. Specifically:

1. Whittington Health committee structure, detailing all those committees and sub-committees/groups which have responsibility for risk
2. Roles and responsibilities of all staff with regards to risk management
3. The process for identification, assessment and management of risk including the levels of acceptability and management authority
4. The process for managing, and Board review of, the organisation wide risk register and its related architecture
5. The process for monitoring the risk management strategy and ensuring it is effective

Definition: Risk management is the identification, assessment and management of risks so as to minimise their potential consequences and likelihood of occurrence.

The Board must recognise that the development of a risk management culture does not occur overnight and therefore the implementation of this strategy will occur on an onward development cycle.

Key components of the strategy will be implemented in a relatively short space of time and work is already underway to underpin this, however the wider culture development will be developmental and as such will be measured and monitored over a longer term trajectory.

Risk mitigation:

The initial focus of the organisation is to ensure that a robust process is in place for the management of risk at a Divisional/Directorate and Corporate level, the approval of this strategy further support this.

NHS Litigation Authority (NHSLA):

This is a core document for the Governance domain for the NHS Litigation Authority and is therefore submitted to the December 2011 Board to support our evidence and compliance for our February 2012 assessment.

Developments: This strategy will be reviewed on an annual cycle, on the next iteration this will be subject to a wider consultation as part of the review cycle, to ensure that a wider group of stakeholders are

engaged in this process, due to time constraints due the impending NHSLA assessment this was not possible to complete a full stakeholder consultation on this occasion.

Action: The Board is asked to review and approve the risk management strategy

Report From: David Williams, Assistant Director of Governance

Sponsor: Bronagh Scott, Director of Nursing and Patient Experience

Financial Validation

Name of finance officer

Lead: Director of Finance

Compliance with statute, directions, policy, guidance

Reference: NHSLA/Health and Safety

Lead: All directors

Compliance with Care Quality Commission Regulations / Outcomes

Reference: This Risk Management Strategy has application to the Essential Standards of Quality and Safety for the Care Quality Commission (CQC)

Lead: Director of Nursing & Clinical Development

Compliance with Auditors' Local Evaluation standards (ALE)

Reference: N/A

Lead: Director of Finance

Compliance with Monitor Quality Governance Framework

Reference: 1A, 3A, 3B

(see chart below)

Lead: Director of Nursing & Clinical Development

Strategy	Capabilities and culture	Processes and structure	Measurement
<p>1A Does quality drive the trust's strategy?</p> <p>1B Is the board sufficiently aware of potential risks to quality?</p>	<p>2A Does the board have the necessary leadership, skills and knowledge to ensure delivery of the quality agenda?</p> <p>2B Does the board promote a quality-focused culture throughout the trust?</p>	<p>3A Are there clear roles and accountabilities in relation to quality governance?</p> <p>3B Are there clearly defined, well understood processes for escalating and resolving issues and managing quality performance?</p> <p>3C Does the board actively engage patients, staff and other key stakeholders on quality?</p>	<p>4A Is appropriate quality information being analysed and challenged?</p> <p>4B Is the board assured of the robustness of the quality information?</p> <p>4C Is quality information used effectively?</p>

