

<b>ITEM: 11/122</b> <b>Doc: 11</b>
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<b>Meeting:</b> Trust Board <b>Date:</b> 28 <sup>th</sup> September 2011
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<b>Title:</b> <b>Report from the Audit Committee</b>
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<b>Executive Summary:</b> The Audit Committee met on 15 <sup>th</sup> September 2011 and was attended by Peter Freedman, Robert Aitken, Sue Rubenstein, Anita Charlesworth and Marisha Ray. Peter Freedman chaired the meeting.
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**Outstanding Actions**

Action notes from meetings since January 2011 were reviewed at the meeting. Of the 32 actions outstanding, 19 had been implemented and a further 13 had been added leaving 25 now outstanding.

Attention is drawn to the following specific actions

**1105.4**

Take-up rates for Mandatory Training:

**Paul Campbell** to inform David Williams of rates required for FT status and report to AC in December re: 85% compliance rate.

**1107.4**

Sort out process for implementing actions arising from AC including executive member attendance and provision of written responses

**Audit Committee/Richard Martin**

**1109.05**

Hospitality Policy:

**Paul Campbell** to update the policy for £20 gifts threshold

<b>Action:</b> Note the actions arising from the Audit Committee and the areas of concern
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<b>Report from:</b> Richard Martin, Director of Finance
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<b>Sponsor:</b> Peter Freedman, Chair of the Audit Committee
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<b>Financial Validation</b>	Name of finance officer
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Lead: Director of Finance	n/a
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<p><b>Compliance with statute, directions, policy, guidance</b></p> <p>Lead: All directors</p>	<p><b>Reference:</b></p> <p>Audit Committee Handbook NHS Compliance Regime</p>
<p><b>Compliance with Healthcare Commission Core/Developmental Standards</b></p> <p>Lead: Director of Nursing &amp; Clinical Development</p>	<p><b>Reference:</b></p> <p>n/a</p>
<p><b>Compliance with Auditors' Local Evaluation standards (ALE)</b></p> <p>Lead: Director of Finance</p>	<p><b>Reference:</b></p> <p>n/a</p>
<p><b>Evidence for self-certification under the Monitor compliance regime</b></p> <p>Lead: All directors</p>	<p><b>Compliance framework reference:</b></p> <p>Appendix C3</p>