

ITEM: 10/069
Doc: 08

Meeting: Trust Board
Date: 23rd June 2010

Title: **Audit Commission: Board Assurance checklist**

Executive Summary: The Board will recall that the draft checklist was discussed at the March meeting. It had been reviewed by the Executive Committee and the non-executive directors were invited to submit their comments to be incorporated in the final version. The attached is the final document which has been shared with the internal and external auditors, who have taken it into account in formulating the Head of Internal Audit Opinion and the external auditor's ALE assessment.

The external auditor has recommended that an action plan should be drawn up to address areas of potential improvement in the 67-point checklist. This will be submitted to and monitored by the Audit Committee who will report back to the Board.

Action: The Trust Board is asked to:

- Note the final version of the 2009-10 board assurance checklist
- Note the Audit Committee's responsibility for monitoring the implementation of the action plan.

Report from: Susan Sorensen
 Corporate Secretary

Sponsor: Anna Merrick
 Chairman of the Audit Committee

<p>Compliance with statute, directions, policy, guidance</p> <p>Lead: All directors</p>	<p>Reference:</p> <p>Audit Commission publication: "Taking it on trust"</p>
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<p>Compliance with Auditors' Local Evaluation standards (ALE)</p> <p>Lead: Director of Finance</p>	<p>Reference:</p> <p>Internal control domain Financial reporting domain</p>
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