

ITEM: 10/035
Doc: 12

Meeting: Trust Board
Date: 24th March 2010

Title: CQC Provider Registration Update

Executive Summary: The trust submitted its application for registration with the CQC on 29th January 2010. The form submitted is attached for your information.

Information was required regarding a number of key areas including: -

- **Location of regulated activities:** we have one.
- **The regulated activities provided from the location.** These are: -
 - Treatment of disease, disorder or injury
 - Surgical procedures
 - Diagnostic and screening procedures
 - Maternity or midwifery services
 - Termination of pregnancies
- Information on how we **respect and involve people** who use our services and on **equality and diversity**.
- **Compliance with the 16 regulations relating to quality and safety of care.**

We declared compliance with all 16 regulations, as the relevant directors had identified appropriate evidence to support this. (Evidence chart attached). There are also a further 5 regulations that apply to acute trusts, concerned with management of the organisation, but we do not have to demonstrate compliance with these until after we are registered.

- **Assurance**

Due to the tight deadline it was not possible to take our declaration to the January Audit Committee or Trust Board, as work to identify evidence had not been completed. It was therefore discussed in detail by the Executive Committee, and then reviewed by the chair and vice-chair prior to submission.

- **Successful application**

The trust received notice on 24th February 2010 that our application had been successful and we have been registered with no compliance restrictions with effect from April 1st 2010 onwards.

Action: For information

Report from:	Veronica Shaw Assistant Director of Nursing and Clinical Development
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Sponsor:	Siobhan Harrington Director of Nursing and Clinical Development
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Compliance with statute, directions, policy, guidance Lead: All directors	Reference: DH requirement for providers of healthcare to be registered with the CQC
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Compliance with CQC Core standards Lead: Director of Nursing & Clinical Development	Reference: Overall requirement to be registered with CQC
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Provider Registration with the CQC

1. Introduction

Subject to legislation, all health and social care providers are now required by law to register with the CQC in order to operate. Registration comes into force from April 2010 onwards for all NHS trusts, including primary care providers.

Trusts had to apply on-line for registration by 29th January 2010. A copy of the Provider registration form completed is attached for your information. During February the CQC reviewed our application and asked us for further information and evidence to assure them that we are compliant. We were informed on 24th February 2010 that our application was successful, and we will be registered without any compliance conditions from April 1st onwards.

2. The application process

As you will see from the application form, information was required on a number of key areas.

- **Location**

This refers to from where the regulated activities are carried out. In our case there is only the one location.

- **The regulated activities provided from the location.** These are: -

- Treatment of disease, disorder or injury
- Surgical procedures
- Diagnostic and screening procedures
- Maternity or midwifery services
- Termination of pregnancies

- Information on how we **respect and involve people** who use our services and on **equality and diversity**. Please see the application form for details.

- **Compliance with the 16 regulations relating to quality and safety of care.**

We declared compliance with all 16 regulations, as the relevant directors had identified appropriate evidence to support this. (Evidence chart attached). There are also a further 5 regulations that apply to acute trusts, concerned with management of the organisation, but we do not have to demonstrate compliance with these until after we are registered.

3. Board Assurance

It is usual practice for submissions such as this to be discussed and approved by the Audit Committee and / or Trust Board prior to submission. As, however, the time between the CQC confirming the regulations and deadline for applications to be made was so narrow, this was not possible, as the work required to identify evidence could not be completed in time for the January Board or Committee. In order to gain as much assurance as possible, the evidence was discussed by the Executive Committee at the end of January and was then reviewed by

the Chair and Vice Chair prior to submission. A full report has since been taken to the Audit Committee.

4. Conditions of registration

Conditions of registration will appear on our certificate of registration. It is important to note that all trusts will be registered with conditions, as there are two types of condition: -

- "Restrictive" conditions are routine and define the regulated activities that the trust is registered to carry out, the locations at which they may be carried out and whether there are any further restrictions, for example they may say we cannot provide a service to children of a certain age
- "Compliance" conditions may be applied where the CQC has concerns about non-compliance. These will require the trust to make improvements to the service in order to meet essential standards of quality and safety.

We have been registered without compliance conditions.

5. Post Registration

From April 1st onwards, the five regulations relating to suitability of management will come into being. We will also need to complete a statement of purpose for each regulated activity for which we are registered.