

**ITEM: 10/104**  
**Doc: 10**

**Meeting:** Trust Board  
**Date:** 20<sup>th</sup> January 2010

**Title:** **Report from the Audit Committee**

**Executive Summary:** The Audit Committee met on 7<sup>th</sup> January 2010 and was attended by Anna Merrick (chair), Robert Aitken and Marisha Ray.

A detailed review of the action notes from meetings since January 2009 was carried out. Of the 25 actions outstanding, all but 5 had been implemented. An update on these is included in the attached report, along with 12 new actions arising from the January meeting. (See attachment 1)

Attention is drawn to the following matters referred to in the action notes:

**Board Assurance**

911.13. The Audit Committee reviewed the checklist in the Audit Commission's report "Taking it on Trust" (April 2009). It was agreed that this should go to the board for information and then completed in draft by the executive team for discussion and approval at the March Audit Committee and Trust Board. (See attachment 2).

The Board Assurance Framework was reviewed . This is a separate item on the agenda.

**Charitable Funds Annual Report and Accounts**

1001.10 The Audit Committee received the Annual Report and Accounts for the year ended 31<sup>st</sup> March 2009 together with the report on governance from the District Auditor. On the basis of the district auditor's report, the Audit Committee approved the annual report and accounts and recommends their adoption by the Trust Board acting as corporate trustee of the charitable funds. (See Attachment 3).

**Internal Audit Progress Report and Plan**

1001.11 The Audit Committee approved the internal audit plan for 2010-11 subject to assurances on coverage of service line reporting and CIP.

Internal Audit had completed 4 reviews since the last meeting, all of which were assigned substantial assurance. The Audit Committee noted the significant progress that had been made on the implementation of outstanding recommendations from previous reports. Of the 137 cumulative recommendations, 39 remained outstanding of which 7 were not yet due for implementation.

**Action:** The Trust Board is asked to:

- Approve the proposals for the completion of the Audit Commission checklist
- Formally adopt the 2008-09 Charitable Funds Annual Report and Accounts

<b>Report from:</b>	Susan Sorensen Corporate Secretary
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<b>Sponsor:</b>	Anna Merrick Chairman of the Audit Committee
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<b>Compliance with statute, directions, policy, guidance</b>  Lead: All directors	<b>Reference:</b>  Audit Committee handbook NHS compliance regime
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<b>Compliance with Auditors' Local Evaluation standards (ALE)</b>  Lead: Director of Finance	<b>Reference:</b>  Internal control domain Financial reporting domain
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