

**ITEM: 10/013**  
**Doc: 09**

**Meeting:** Trust Board  
**Date:** January 20th 2010

**Title:** CQC Registration Requirements Update

**Executive Summary:** The Care Quality Commission have now published the processes that must be undertaken in order to ensure registration with them from April 2010.

**1. Pre –application process.**

This was completed in December and required initial information to be submitted on our regulated activities, location of the activities and lead individuals.

**2. Application Process**

The trust must declare compliance with the new registration outcome standards between 4<sup>th</sup> and 29<sup>th</sup> January 2010. Lead directors have been designated to ensure that appropriate evidence is identified to support compliance or to identify any potential gaps together with suitable remedial actions. This work is still in progress but will be completed in time to meet the submission deadline. The board is asked to delegate authority to the CEO to approve the declaration of compliance

**3. Registration**

The trust will receive notification of its registration status, which will apply from April 1<sup>st</sup> 2010 onwards, between 30<sup>th</sup> January and 31<sup>st</sup> March 2010.

**Action:** For information and decision on delegation of authority to CEO to approve the declaration of compliance.

**Report from:** Veronica Shaw  
 Assistant Director of Nursing and Clinical Development

**Sponsor:** Siobhan Harrington  
 Interim Director of Nursing and Clinical Development

<p><b>Compliance with statute, directions, policy, guidance</b></p> <p>Lead: Director of Nursing and Clinical Development</p>	<p><b>Reference:</b></p> <p>CGC direction</p>
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## **Update on Care Quality Commission Registration Processes**

The Care Quality Commission have now published the processes that must be undertaken in order to ensure registration with them from April 2010.

### **1. Pre –application process**

This had to be completed by December 18<sup>th</sup> 2009. This process required information to be submitted on the types of regulated activities we provide, location of where activities are provided from and lead individual(s). N.B. Trusts will not be registered automatically.

### **2. Application process**

The CQC Website is open from January 4<sup>th</sup> to January 29<sup>th</sup> 2010, and trusts must make their declaration against the new registration standards. An updated version of these has recently been published, although they will not be entirely final until the required legislation has been passed.

The standards are now classed as required outcomes. There are 28 outcomes, each reflecting a specific regulation. Of the 28, 21 apply to acute hospitals and there are 16 that relate directly to the quality and safety of care. The other seven regulations apply to different types of provider such as social services and care homes. Outcomes have been grouped into the following six key areas as follows: -

- Involvement and information
- Personalised care, treatment and support
- Safeguarding and safety
- Suitability of staffing
- Quality and management
- Suitability of management

The outcomes set out what patients should expect to happen as a result of providers meeting the new regulations. They are firmly focussed on the patient experience rather than processes, and are very lengthy, containing significant detail.

The Executive team has discussed the list of outcomes and an evidence matrix whereby each outcome has been designated to a lead director. They, with their team, will be responsible for identifying the evidence needed to demonstrate compliance. The Director of Nursing and Clinical Development has overall responsibility for the process on behalf of the CEO. This process will be monitored via the Clinical Governance Committee, which in return will report to the Audit Committee.

Late applications will still be considered but may miss the April 1<sup>st</sup> 2010 deadline, meaning they cannot continue to operate until registration notification is received.

### **3. Trusts will be registered**

Between January 30<sup>th</sup> and March 31<sup>st</sup> 2010, trusts will receive notification of their registration status. This may be unconditional if meeting all the requirements, or conditional if not. From April 1<sup>st</sup> 2010 trusts will be registered with the CQC and must continue to meet all registration requirements from then onwards

## Appendix One

### List of Outcomes

	<b>Outcome</b>
	<b>Involvement and Information</b>
1	Respecting and involving people who use services
2	Consent to care and treatment
3	Fees
	<b>Personalised care, treatment and support</b>
4	Care and welfare of people who use services
5	Nutritional needs
6	Co-operating with other providers
	<b>Safeguarding and safety</b>
7	Safeguarding people who use services from abuse
8	Cleanliness and infection control
9	Management of medicines
10	Safety and suitability of premises
11	Safety, suitability and availability of equipment
	<b>Suitability of staffing</b>
12	Requirements relating to workers
13	Staffing
14	Supporting workers
	<b>Quality and management</b>
15	Statement of purpose
16	Assessing and monitoring the quality of service provision
17	Complaints
18	Notification of death of a person who uses services
19 (N/A)	Notification of death or unauthorised absence of a person who is detained, or liable to be detained, under the Mental Health Act 1983
20 (N/A)	Notification of other incidents
21	Records
	<b>Suitability of management</b>
22 (N/A)	Requirements where the service provider is an individual or partnership
23 (N/A)	Requirements where the service provider is a body other than a partnership
24 (N/A)	Requirements relating to registered managers
25	Registered person: training
26 (N/A)	Financial position
27 (N/A)	Notifications – notice of absence
28	Notifications – notice of changes

N/A outcomes do not apply to acute hospitals.