ITEM: 10/013 Doc: 09

Meeting: Trust Board

Date: January 20th 2010

Title: CQC Registration Requirements Update

Executive Summary:

Development

The Care Quality Commission have now published the processes that must be undertaken in order to ensure registration with them from April 2010.

1. Pre -application process.

This was completed in December and required initial information to be submitted on our regulated activities, location of the activities and lead individuals.

2. Application Process

The trust must declare compliance with the new registration outcome standards between 4th and 29th January 2010. Lead directors have been designated to ensure that appropriate evidence is identified to support compliance or to identify any potential gaps together with suitable remedial actions. This work is still in progress but will be completed in time to meet the submission deadline. The board is asked to delegate authority to the CEO to approve the declaration of compliance

3. Registration

The trust will receive notification of its registration status, which will apply from April 1st 2010 onwards, between 30th January and 31st March 2010.

Action: For information and decision on delegation of authority to CEO to approve the declaration of compliance.

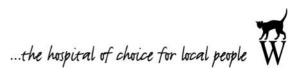
Report Veronica Shaw **from:** Assistant Director of Nursing and Clinical Development

Sponsor: Siobhan Harrington
Interim Director of Nursing and Clinical Development

Compliance with statute, directions, policy, guidance

Lead: Director of Nursing and Clinical

CGC direction



Update on Care Quality Commission Registration Processes

The Care Quality Commission have now published the processes that must be undertaken in order to ensure registration with them from April 2010.

1. Pre -application process

This had to be completed by December 18th 2009. This process required information to be submitted on the types of regulated activities we provide, location of where activities are provided from and lead individual(s). N.B. Trusts will not be registered automatically.

2. Application process

The CQC Website is open from January 4th to January 29th 2010, and trusts must make their declaration against the new registration standards. An updated version of these has recently been published, although they will not be entirely final until the required legislation has been passed.

The standards are now classed as required outcomes. There are 28 outcomes, each reflecting a specific regulation. Of the 28, 21 apply to acute hospitals and there are 16 that relate directly to the quality and safety of care. The other seven regulations apply to different types of provider such as social services and care homes. Outcomes have been grouped into the following six key areas as follows: -

- Involvement and information
- Personalised care, treatment and support
- Safeguarding and safety
- Suitability of staffing
- Quality and management
- Suitability of management

The outcomes set out what patients should expect to happen as a result of providers meeting the new regulations. They are firmly focussed on the patient experience rather than processes, and are very lengthy, containing significant detail.

The Executive team has discussed the list of outcomes and an evidence matrix whereby each outcome has been designated to a lead director. They, with their team, will be responsible for identifying the evidence needed to demonstrate compliance. The Director of Nursing and Clinical Development has overall responsibility for the process on behalf of the CEO. This process will be monitored via the Clinical Governance Committee, which in return will report to the Audit Committee.

Late applications will still be considered but may miss the April 1st 2010 deadline, meaning they cannot continue to operate until registration notification is received.

3. Trusts will be registered

Between January 30th and March 31st 2010, trusts will receive notification of their registration status. This may be unconditional if meeting all the requirements, or conditional if not. From April 1st 2010 trusts will be registered with the CQC and must continue to meet all registration requirements from then inwards

Appendix One

List of Outcomes

	Outcome
	Involvement and Information
1	Respecting and involving people who use services
2	Consent to care and treatment
3	Fees
	Personalised care, treatment and support
4	Care and welfare of people who use services
5	Nutritional needs
6	Co-operating with other providers
	Safeguarding and safety
7	Safeguarding people who use services from abuse
8	Cleanliness and infection control
9	Management of medicines
10	Safety and suitability of premises
11	Safety, suitability and availability of equipment
	Suitability of staffing
12	Requirements relating to workers
13	Staffing
14	Supporting workers
	Quality and management
15	Statement of purpose
16	Assessing and monitoring the quality of service provision
17	Complaints
18	Notification of death of a person who uses services
19 (N/A)	Notification of death or unauthorised absence of a person who is detained, or liable
	to be detained, under the Mental Health Act 1983
20 (N/A)	Notification of other incidents
21	Records
	Suitability of management
22 (N/A)	Requirements where the service provider is an individual or partnership
23 (N/A)	Requirements where the service provider is a body other than a partnership
24 (N/A)	Requirements relating to registered managers
25	Registered person: training
26 (N/A)	Financial position
27 (N/A)	Notifications – notice of absence
28	Notifications – notice of changes

N/A outcomes do not apply to acute hospitals.