

Item 09/028  
DOC: 7

**Meeting:** Trust Board Part 1  
**Date:** 18 February 2009

**Title:** Ratification of Q3 monitoring report to NHS London

**Executive Summary:** The Trust is required to submit a quarterly monitoring report on its financial and service performance to NHS London under the Provider Management Regime. In line with the Provider Management Regime, reporting focuses on exceptions, weaknesses and changes from the position in the annual plan and what action is being taken where performance is deviating from plan. The Provider Agency expects Trusts to provide ongoing exception reports as and when issues arise. Timeliness of returns is taken into account when determining the governance. NHS London requires the following submissions:

- o Q3 finance performance calculator
- o Q3 service performance calculator, highlighting those targets that have been breached
- o A short accompanying commentary outlining significant variances and any other matters that may have an impact on the trust's risk rating

The Q3 submission was due on 30 January 2009 and completion of the report was outside the Board meeting schedule. The submission was approved and self-certification signed by David Sloman, Chief Executive, and Joe Liddane, Chairman on behalf of the Board.

The Trust's service performance (report attached at appendix 1) was rated amber and this reflects the breach in the MRSA performance target for the quarter, and the exception report commentary is provided for the board as an attachment.

The trust's financial performance shows an actual year to date risk rating (RR) of 3, an annual plan RR of 3 and a forecast outturn RR of 3 at Q3. This is in line with the financial reports to the Trust Board throughout Q3.

The Trust has seen an improvement in the actual I&E position since Q1 resulting in an improving RR through the quarters due to the following income gains:

- o £500K from Islington PCT for maternity services improvements
- o £400K waiting list funding received in Q2
- o An increasing depreciation under spend up from £411K in Q2 to £575K in Q3
- o Ongoing central provision releases throughout Q3

The Trust remains on course to deliver against CIP targets, and although the 2008/09 position is reliant upon non-recurrent income gains and release of provisions, the Whittington is forecasting at Q3 a surplus of £2m, in line with plan.

**Action:** To ratify the report

**Report from:** Fiona Elliott, Director Planning & Performance

<b>Sponsor: David Sloman, Chief Executive</b>
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<b>Financial Validation</b> Lead: Director of Finance	Name of finance officer N/a
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<b>Compliance with statute, directions, policy, guidance</b> Lead: All directors	<b>Reference:</b> FT application process
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<b>Compliance with Healthcare Commission Core/Developmental Standards</b> Lead: Director of Nursing & Clinical Development	<b>Reference:</b> N/a
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<b>Compliance with Auditors' Local Evaluation standards (ALE)</b> Lead: Director of Finance	<b>Reference:</b> N/a
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<b>Evidence for self-certification under the Monitor compliance regime</b> Lead: All directors	<b>Compliance framework reference:</b> Management of the Governance risk rating
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