

**ITEM: 9**

**Meeting:** Trust Board  
**Date:** 16 April 2008

**Title:** **NHS London Provider Agency: risk ratings 2008-09**

**Executive Summary:** The NHS London Provider Agency has now completed the review of annual plans and risk ratings for 2008-09 which have been approved by the NHS London Board on 26 March.

The Whittington has been rated 3 for financial risk and green, green, green for Governance, Services Provided and Quality and Safety risks respectively.

Annex 1 is the Provider Agency's summary of the risk assessment for the Whittington.

Annexes 2 – 5 are slides comparing the performance of all Trusts within the NHS London portfolio (i.e. excluding foundation trusts). These cover:

- Overview of risk ratings, plans and FT wave for all trusts. FCT means “Financially Challenged Trust” – not yet assigned a potential authorisation date.
- 2008-09 planned net surplus
- Level of CIPs 2006-07 to 2008-09 as % of cost base
- Quality and safety ratings for 2008-09

These are extracted from a presentation which is on the NHS London website [www.london.nhs.uk](http://www.london.nhs.uk) under Board papers (26 March)

**Action:** The Trust Board is asked to note the Provider Agency's risk assessment and to advise whether the comparative data is useful for benchmarking purposes to be brought to the Board on a regular basis.

**Report from:** Susan Sorensen  
Director of Strategy and Performance

**Sponsor:** David Sloman, Chief Executive Officer

<b>Financial Validation</b>	Name of finance officer
Lead: Director of Finance	N/a

<p><b>Compliance with statute, directions, policy, guidance</b></p> <p>Lead: All directors</p>	<p><b>Reference:</b></p> <p>N/a</p>
<p><b>Compliance with Healthcare Commission Core/Developmental Standards</b></p> <p>Lead: Director of Nursing &amp; Clinical Development</p>	<p><b>Reference:</b></p> <p>N/a</p>
<p><b>Compliance with Auditors' Local Evaluation standards (ALE)</b></p> <p>Lead: Director of Finance</p>	<p><b>Reference:</b></p> <p>N/a</p>
<p><b>Evidence for self-certification under the Monitor compliance regime</b></p> <p>Lead: All directors</p>	<p><b>Compliance framework reference:</b></p> <p>N/a</p>